

DOCKET NO: R0586-701110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mark E. Herrmann, et al.

Serial No:

10/728,727

Confirmation No:

1722

Filed:

December 5, 2003

For:

GAME OF CHANCE AND SYSTEM AND METHOD FOR

PLAYING GAMES OF CHANCE

Examiner:

Benjamin William Lee

Art Unit:

3713

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the **A** day of February, 2007.

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

[X] Information Disclosure Statement

[X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,

Mark E. Herrmann, Applicant

Edward J. Russavage, Reg. No. 43,069 Matthew H. Grady, Reg. No. 52,957

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Riverfront Office Park One Main Street

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Tel. (617) 395-7000

Date: February



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INFORMATION DISCLOSURE STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

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PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

10/954.985	September 30, 2004	Steven N. Kane, et al.		
Serial No.	Filing Date	<u>Inventor(s)</u>		

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

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By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Mark E. Herrmann, et al., Applicants

By:

Edward J. Russavage, Reg. No. 43,069 Matthew H. Grady, Reg. No. 52,957 LOWRIE, LANDO & ANASTASI, LLP

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Date: February 2007

FORM PTO-1449/A and B (Modified)	APPLICATION NO.: 10/728,727	ATTY. DOCKET NO.: R0586-701110		
INFORMATION DISCLOSURE	FILING DATE: December 5, 2003	CONFIRMATION NO.: 1722		
STATEMENT BY APPLICANT	APPLICANT: Mark E. Herrmann, et al.			
FEB 2 6 2007 of	GROUP ART UNIT: 3713	EXAMINER: Benjamin William Lee		
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U.S. PATENT DOCUMENTS

PADENT			U.S. PATENT DOCUMENTS			
Examiner's	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue of Cited Document	
Initials	No.	Number	Kind Code	Document	MM-DD-YYYY	
		5,791,991*	A	Small	08/11/1998	
		6,607,439*	B2	Scheneier et al.	08/19/2003	
		. US2002/0090986*	Al	Cote et al.	07/11/2002	
		US2005/0049042*	A1	Walker, et al.	03-03-2005	
		US2004/0142741*	A1	Walker, et al.	07-22-2004	
		US2002/0090987*	A1	Walker, et al.	07-11-2002	
		US 6,843,724*	B2	Walker, et al.	01-18-2005	
		US 6,692,353*	B2	Walker, et al.	02-17-2004	
		US 6,364,765*	B1	Walker, et al.	04-02-2002	
		US2003/0218303*	A1	Walker, et al.	11-27-2003	
		US2003/0155715*	A1	Walker, et al.	08-21-2003	
		US 6,679,497*	B2	Walker, et al.	01-20-2004	
		US 6,540,230*	B1	Walker, et al.	04-01-2003	
· · · · · · · · · · · · · · · · · · ·		US 6,523,829*	B1	Walker, et al.	02-25-2003	

FOREIGN PATENT DOCUMENTS

Examiner's Cite		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of	Translation
Initials	No.	Office/ Country	Number	Kind Code	(not necessary)	Cited Document MM-DD-YYYY	(Y/N)

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's	Cite	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
Initials	No		(Y/N)

EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{*}a copy of this reference is not provided either because it was previously cited by or submitted to the office in a prior application, Serial No. ___, filed ___, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications) or because a copy of this reference need not be provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.